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Abstract

The prediction of transmembrane (TM) helix and topology is an important field of bioinformatics owing to the difficulties in obtaining high-resolution structures of membrane proteins. Many methods have been developed and several evaluations have compared the performance of individual methods using benchmarks from various sources. We present an analysis of a popular evaluation method by Kernytsky and Rost, which is created using data sets from more than six years ago. Our analysis shows that the benchmark contains data that have substantial disagreements in comparison with the current annotations in SwissProt Release 54.1. Furthermore, the benchmark also contains issues such as annotations of low reliability, sequence redundancy, and presence of signal peptides. We perform updating and cleansing of the above issues in the benchmark, and evaluate eleven widely used methods, including *SVMtop*, a hierarchical classification method based on support vector machines (SVM). The results show that *SVMtop* is ranked highly among the top-performing methods for helix prediction, correctly predicting the location of helices for more than 80% of the updated benchmark. Given the discrepancies and noises in the original benchmark, it should be used with discretion for assessing the performance of TM helix predictions. The analysis also implies that there is an urgent need for creating a new benchmark for an accurate and objective comparison. The updated benchmark is available for public use at <http://bio-cluster.iis.sinica.edu.tw/~bioapp/SVMtop/dataset.htm>.

Keywords

membrane protein, transmembrane helix, topology prediction, support vector machines, structure prediction

I. INTRODUCTION

Integral membrane proteins constitute a wide and important class of biological entities that are crucial for life, representing about 25% of the proteins encoded by several genomes [1-3]. They also play a key role in various cellular processes including signal and energy transduction, cell-cell interactions, and transport of solutes and macromolecules across membranes [4]. Despite their biological importance, the proportion of available high-resolution structures is exceedingly limited at about 0.5% of all solved structures [5], compared to that of globular proteins deposited in the Protein Data Bank (PDB) [6]. In the absence of a high-resolution structure, an accurate structural model is important for the functional annotation of membrane proteins. A membrane protein structural model defines the number and location of transmembrane helices (TMHs) and the orientation or topology of the protein relative to the lipid bilayer. However, experimental approaches for identifying membrane protein structural models are time-consuming [7]. Therefore, bioinformatics development in sequence-based prediction methods is valuable for elucidating the structural genomics of membrane proteins.

Many different methods have been developed to predict structural models of TM proteins. Earlier approaches relied on physico-chemical properties such as hydrophobicity [8-10] to identify TMH regions. Recently, more advanced methods using hidden Markov models [3, 11], neural networks [12] and support vector machines (SVM) [13] have been developed, and they have achieved significant improvements in prediction accuracy.

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Concurrently, several evaluation studies have examined the performance of different methods [14-18]. However, no method is consistently ranked as the top-performing method because 1) the data sets used for evaluation are quite different; and 2) no consistent criteria for measuring performance are used. One evaluation, in particular, assesses the TM helix prediction accuracy and is available as an online server [17]. For a total of 2247 proteins, this benchmark consists of four groups of data sets including high- and low-resolution TM proteins, signal peptides, and soluble proteins. It offers a static evaluation by allowing users to upload their predictions onto the server, and the results will be benchmarked against other methods. Essentially, this evaluation is the first of its kind, and it is valuable for benchmarking purposes when developing a new method given its timeliness and usability. However, since the TM protein data sets are compiled from more than six years ago, it is very likely that they have undergone substantial modifications [19]. Therefore, we design this study to evaluate the suitability of the benchmark.

First, we use the benchmark to evaluate a method of our previous work, *SVMtop*, and the initial results appear to be contradictory to the results of our own assessment [13, 20]. The poor results achieved by *SVMtop*, particularly in the TM helix prediction, prompted us to carry out a detailed analysis. As the first step, we question the validity and correctness of the data sets by checking if the annotations are updated with the current annotations in SwissProt Release 54.1 [21]. If the data sets are indeed updated, they should have very high agreement with the current annotations, and the annotations evaluated by the benchmark should in turn, achieve very high performance. Since the original benchmark is used, the identity of each protein (high- or low- TM protein, signal peptide, or soluble protein) is not given. We identify each of the 2247 proteins in the benchmark by matching it with a data set from Chen *et al.* [16] and using the BLASTP program [22]. Next, the annotation of each TM protein is extracted from SwissProt Release 54.1. The TM proteins of current annotation are then uploaded to the server to test for consistency. In the second step, we also re-assess *SVMtop*, among other existing methods for comparison using the updated annotations.

The results indicate that 1) the original benchmark does contain a substantial amount of inconsistencies with the current annotations (>20%), in addition to sequence redundancy and signal peptides; and 2) the performance of *SVMtop* is under-estimated using the original benchmark due to the issues outlined above. The prediction accuracy for helix location of *SVMtop* is well over 80% when evaluated using the updated data sets for both high- and low-resolution TM proteins, and it compares favorably with ten other methods. This agrees well with our previous work of evaluations from two benchmarks consisting of high- and low-resolution TM proteins. An important implication is that evaluations containing old annotations should be used with caution and the results should be carefully interpreted, if not avoided entirely. This also calls for a new benchmark of updated annotations for a fair assessment of TM prediction methods.

II. METHODS

A. *SVMtop* methodology

SVMtop represents a support vector machine method for transmembrane helix and topology prediction, using two-stage hierarchical classification. The task of helix and topology prediction is separated into two stages in a hierarchical framework, thus the complexity of each stage is reduced and relevant input features can be applied separately. For helix prediction in the first stage, we select and integrate multiple input features based on both the sequence and the structure of a TM helix for the first SVM classifier. In the second stage, topology (sidedness of the N-terminus) prediction is accomplished by the second classifier and a new scoring function called the *Alternating Geometric Scoring Function* (AGSF). Figure 1 shows the system architecture of the method. Detailed feature selection and encoding as well as the calculation of AGSF are described in Lo *et al.* [13, 20].

B. Evaluating *SVMtop* using the original benchmark

We evaluated *SVMtop* using the original benchmark as published online by Kernytsky and Rost [17] by uploading the prediction of *SVMtop* onto the server at http://cubic.bioc.columbia.edu/tmh_benchmark. The benchmark contains four different types of proteins: high-resolution TM proteins, low-resolution TM proteins, signal peptides, and soluble proteins. Therefore, this benchmark also assesses the power to discriminate signal peptides and soluble proteins in addition to helix predictions. The prediction is accepted in two formats: 1) helix start and end numbers after the protein number (e.g. >0 11, 35 denotes that the helix starts at 11th position and ends at the 35th amino acid); and 2) per-residue prediction (e.g. >1 LLHHHHLL), where L stands for loop residues and H represents helix residues after the protein number. We uploaded the prediction in Format 1 onto the server and the results are returned with a

comparison with other methods as shown in Section III-A.

C. Identifying the proteins in the benchmark and retrieving the current annotations

Each of the proteins in the original benchmark must first be identified before we can retrieve their annotations in the SwissProt. Therefore, we performed extensive work to decode the identity of all 2247 proteins by first matching it with another data set used in Chen *et al.* [16] which is available at http://cubic.bioc.columbia.edu/papers/2002_hm_eval/data/. We will refer to this data set as Chen-2002. It contains sequences and protein IDs for 36 high-resolution TM proteins, 165 low-resolution TM proteins, 616 soluble proteins, and 1418 signal peptides. We tried to first identify each of the 2247 proteins in the benchmark by one-against-all matching with the sequences given in Chen-2002. If a protein sequence matched 100% with the sequence as given in Chen-2002, it was assigned as one of the high/low/soluble/signal proteins as labeled in Chen-2002. If it was not matched, we used blastp searching against SwissProt v.54.1 to identify them. Each of the 2247 proteins was identified according to its category and Protein Name or PDB ID. Examples identified by matching with Chen-2002, are shown in the order of protein number in benchmark, group, database|protein name or PDB ID:

>1 HIGH_RES,PDB|1afo_A; >36 LOW_RES,SWISS|1b27_human;
 >201 SIGNAL,11S3_HELAN; >1620 SOLUBLE,1a1d;

An example of a protein identified by BLASTP, is shown in the order of protein number in benchmark, blastp-identified, group of protein, database|protein name or PDB ID:

>25 BLASTP,SOLUBLE,SWISS|MEL_APICE;

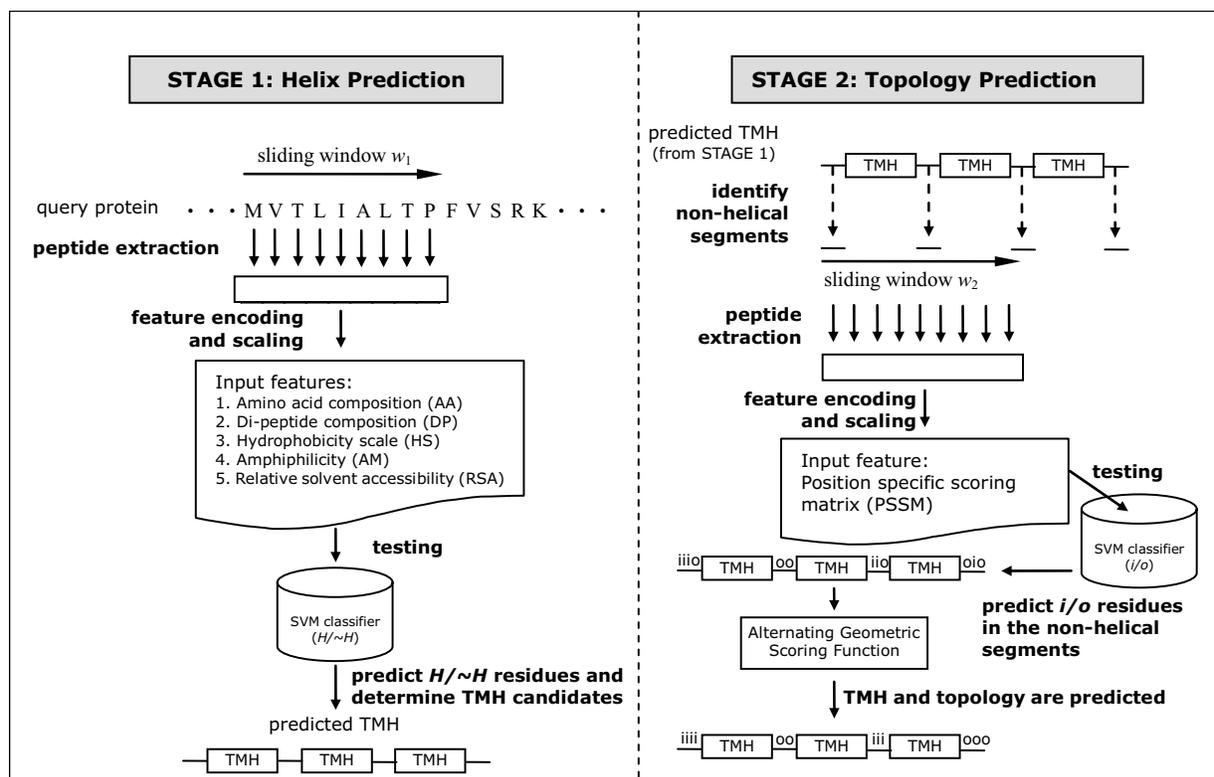


Fig. 1. Flowchart of SVM_{top}. The left panel describes Helix Prediction of Stage 1 in the order of: peptide extraction by sliding windows; feature encoding and scaling; prediction of helix (H) and non-helix ($\sim H$) residues; determination of TMH candidates. The right panel describes Topology Prediction of Stage 2 in the order of: identify non-helical segments; peptide extraction by sliding windows; feature encoding and scaling; prediction of inside (i) and outside (o) residues; applying AGSF to obtain the final topology.

Figure 2 shows the flowchart of the abovementioned procedure. For each of the low- and high-resolution TM proteins, we obtained their PDB IDs or SwissProt protein names. We then downloaded the corresponding annotations from SwissProt Release 54.1 and obtained the topology information from the FT lines of the raw text format. Some high-resolution TM proteins do not have complete SwissProt topology annotations, thus we took the annotations from MPtopo database (Last update, Aug. 30, 2007) [23]. The high-resolution TM proteins with MPtopo annotations are 1bgy_C, 1bgy_D, 1bgy_G, 1bgy_J, 1bl8_A, and 1ehk_A. The TM proteins with updated annotations were then uploaded as predictions onto the server, to test for any inconsistency with the server’s annotation. The results are shown in Section III-C.

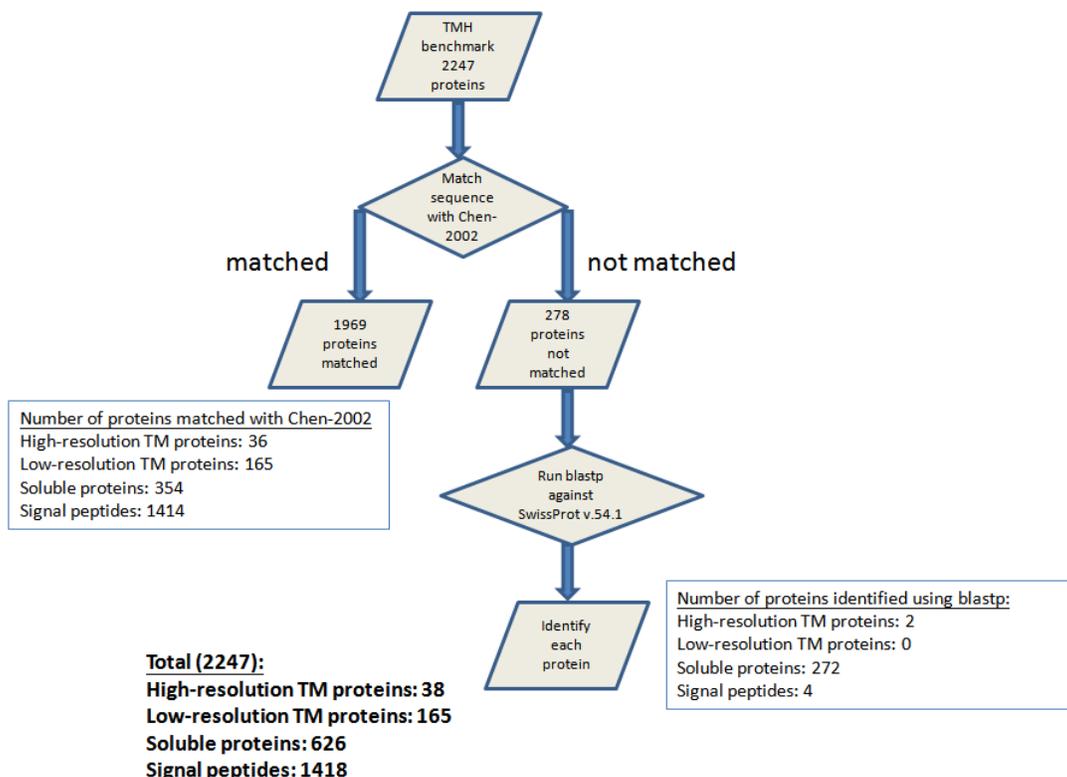


Fig. 2. The procedure taken to identify the proteins in the benchmark.

D. Performance evaluation using the updated benchmark

After we updated the benchmark using the current annotations from SwissProt Release 54.1, we re-evaluated SVMtop and also ten other popular TM helix prediction methods, including TMHMM2 [3], HMMTOP2 [11], PHDhtm v1.96 [12], MEMSAT3 [24], TopPred 2 [25], SOSUI 1.1 [26], SPLIT4 [27], ConPred II [28], Phobius [29], and PolyPhobius [30]. We used the default settings for each online server compared, wherever applicable.

E. Evaluation metrics

To assess the prediction accuracy, we followed the evaluation measures as described by Chen *et al.* [16]. There are three types of measures: per-protein, per-segment, and per-residue accuracy as listed in Table I. For per-residue measures, we also used Matthew’s correlation coefficient (*MCC*), which is a more robust measure than using recall or precision alone [31]. In addition, we have used an overlap of at least 9 residues for a correctly predicted TMH segment, whereas many other methods have used a more relaxed criterion of 3 overlapping residues [3,11,12]. A correctly predicted TMH segment is defined as a one-to-one overlap with the true TMH segment.

TABLE I
EVALUATION METRICS USED IN THIS WORK

| Symbol | Formula | Description |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
| Q_{ok} | $\frac{\sum_i^{N_{prot}} \delta_i}{N_{prot}} \times 100\%$, with $\delta_i = \begin{cases} 1, & \text{if } Q_{htm}^{\%obs} \wedge Q_{htm}^{\%prd} = 100\% \text{ for protein } i \\ 0, & \text{otherwise} \end{cases}$ | percentage of proteins in which all its TMH segments are predicted correctly |
| $Q_{htm}^{\%obs}$ | $\frac{\text{number of correctly predicted TM in data set}}{\text{number of TM observed in data set}} \times 100\%$ | TMH segment recall |
| $Q_{htm}^{\%prd}$ | $\frac{\text{number of correctly predicted TM in data set}}{\text{number of TM predicted in data set}} \times 100\%$ | TMH segment precision |
| Q_2 | $\frac{\sum_i^{N_{prot}} \frac{\text{number of residues predicted correctly in protein } i}{\text{number of residues in protein } i}}{N_{prot}} \times 100\%$ | averaged percentage of correctly predicted TMH residues of all proteins |
| $Q_{2T}^{\%obs}$ | $\frac{\text{number of residues correctly predicted in TM helices}}{\text{number of residues observed in TM helices}} \times 100\%$ | TMH residue recall |
| $Q_{2T}^{\%prd}$ | $\frac{\text{number of residues correctly predicted in TM helices}}{\text{number of residues predicted in TM helices}} \times 100\%$ | TMH residue precision |
| MCC | $\frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}$, where TP : number of correctly predicted helix residues TN : number of correctly predicted non-helix residues FP : number of incorrectly predicted helix residues FN : number of incorrectly predicted non-helix residues | Matthew's correlation coefficient for TMH residues |

We only evaluate the per-protein measure, Q_{ok} for helix prediction because no topology information can be retrieved for some proteins. Per-segment measures include $Q_{htm}^{\%obs}$ and $Q_{htm}^{\%prd}$. Per-residue measures include Q_2 , $Q_{2T}^{\%obs}$, $Q_{2T}^{\%prd}$, and MCC . N_{prot} is the number of proteins in a data set; TP : true positive; TN : true negative; FP : false positive; FN : false negative.

III. RESULTS AND DISCUSSION

A. Performance of SVMtop evaluated by the original benchmark

The performance of SVMtop assessed by the original benchmark for high- and low-resolution TM proteins is listed in Table II and Table III, respectively. From the first glimpse of the results, although SVMtop (indicated as ‘‘YOU’’) achieves relatively good per-residue accuracy for both data sets, it does not perform favorably against other methods such as HMMTOP, TMHMM, and PHDhtm in per-protein score. Particularly, the Q_{ok} (percentage of proteins with all helices predicted correctly) score is very low for low-resolution set, at 57%. This is significantly lower than that of TMHMM, at 75%. These results are very different from our previous evaluations using more expanded data sets [13, 20]. Another peculiar observation is that, SVMtop has the best Q_2 , good per-segment recall $Q_{htm}^{\%obs}$ and precision $Q_{htm}^{\%prd}$ (>90%), but the Q_{ok} score is significantly lower (57%) than that of Q_2 (92%) in the low-resolution set. Therefore, we decided to examine the benchmark more closely. Since SVMtop was trained on an updated version of M oller’s data set [19] using SwissProt, we already found a lot of modifications (unpublished results). We suspected

that the benchmark which also used Möller’s data set would likely contain some old information. Hence, we identified each of the 2247 proteins, and downloaded their annotations from SwissProt.

In Table IV, SVM_{top}’s performance on soluble proteins is listed. It is clear that SVM_{top} achieves the best discrimination among all methods compared by achieving 0% false positive and negative rates. In addition, SVM_{top} is also assessed in terms of signal peptide discrimination in Table V. Since SVM_{top} was not trained to discriminate signal peptides, it is expected that many of the signal peptides could be wrongly predicted as TM helices (93%). From this preliminary evaluation, we decided to examine the TM proteins more closely, by addressing the poor performance in Q_{ok} .

TABLE II
HIGH-RESOLUTION ACCURACY OF SVM_{top} BEFORE UPDATING THE BENCHMARK

| Method [?] | Q _{ok} [?] | Q _{htm} % _{obs} [?] | Q _{htm} % _{prd} [?] | Q ₂ [?] | Q _{2T} % _{obs} [?] | Q _{2T} % _{prd} [?] |
|---------------------|------------------------------|---------------------------------------------------|---------------------------------------------------|-----------------------------|--------------------------------------------------|--------------------------------------------------|
| PHDpsihtm08 | 84 | 99 | 98 | 80 | 76 | 83 |
| HMMTOP2 | 83 | 99 | 99 | 80 | 69 | 89 |
| DAS | 79 | 99 | 96 | 72 | 48 | 94 |
| YOU | 75 | 96 | 93 | 86 | 81 | 90 |
| TopPred2 | 75 | 90 | 90 | 77 | 64 | 83 |
| TMHMM1 | 71 | 90 | 90 | 80 | 68 | 81 |
| SOSUI | 71 | 88 | 86 | 75 | 66 | 74 |
| PHDhtm07 | 69 | 83 | 81 | 78 | 76 | 82 |
| KD | 65 | 94 | 89 | 67 | 79 | 66 |
| PHDhtm08 | 64 | 77 | 76 | 78 | 76 | 82 |
| GES | 64 | 97 | 90 | 71 | 74 | 72 |
| PRED-TMR | 61 | 84 | 90 | 76 | 58 | 85 |
| Ben-Tal | 60 | 79 | 89 | 72 | 53 | 80 |
| Eisenberg | 58 | 95 | 89 | 69 | 77 | 68 |
| Hopp-Woods | 56 | 93 | 86 | 62 | 80 | 61 |
| WW | 54 | 95 | 91 | 71 | 71 | 72 |
| Roseman | 52 | 94 | 83 | 58 | 83 | 58 |
| Av-Cid | 52 | 93 | 83 | 60 | 83 | 58 |
| Levitt | 48 | 91 | 84 | 59 | 80 | 58 |
| A-Cid | 47 | 95 | 83 | 58 | 80 | 56 |
| Heijne | 45 | 93 | 82 | 61 | 85 | 58 |
| Bull-Breese | 45 | 92 | 82 | 55 | 85 | 55 |
| Sweet | 43 | 90 | 83 | 63 | 83 | 60 |
| Radzicka | 40 | 93 | 79 | 56 | 85 | 55 |
| Nakashima | 39 | 88 | 83 | 60 | 84 | 58 |
| Fauchere | 36 | 92 | 80 | 56 | 84 | 56 |
| Lawson | 33 | 86 | 79 | 55 | 84 | 54 |
| EM | 31 | 92 | 77 | 57 | 85 | 55 |
| Wolfenden | 28 | 43 | 62 | 62 | 28 | 56 |

The raw output of the benchmark server is shown. The question mark (?) denotes a hyperlink for explanation in the original output. SVM_{top} is indicated as “YOU”. (Sorting on column 1 - highlighted)

TABLE III
 LOW-RESOLUTION ACCURACY OF SVM_{top} BEFORE UPDATING THE BENCHMARK

| Method ? | Qok ? | Qhtm %obs ? | Qhtm %prd ? | Q2 ? | Q2T %obs ? | Q2T %prd ? |
|--------------------------|-----------------------|------------------------------------------------|------------------------------------------------|----------------------|-----------------------------------------------|-----------------------------------------------|
| TMHMM1 | 72 | 91 | 92 | 90 | 83 | 80 |
| PHDpsiHtm08 | 67 | 95 | 94 | 89 | 87 | 77 |
| HMMTOP2 | 66 | 94 | 93 | 90 | 85 | 83 |
| PRED-TMR | 58 | 92 | 93 | 90 | 78 | 86 |
| YOU | 57 | 95 | 85 | 92 | 89 | 82 |
| PHDhtm08 | 57 | 86 | 86 | 87 | 83 | 75 |
| PHDhtm07 | 56 | 85 | 86 | 87 | 83 | 75 |
| SOSUI | 49 | 88 | 86 | 88 | 79 | 72 |
| TopPred2 | 48 | 84 | 79 | 88 | 74 | 71 |
| DAS | 39 | 93 | 81 | 86 | 65 | 85 |
| Ben-Tal | 35 | 79 | 90 | 87 | 67 | 83 |
| Wolfenden | 29 | 56 | 82 | 80 | 47 | 76 |
| WW | 27 | 90 | 75 | 81 | 83 | 59 |
| GES | 23 | 93 | 68 | 78 | 87 | 53 |
| Eisenberg | 20 | 90 | 63 | 72 | 89 | 47 |
| KD | 13 | 88 | 59 | 63 | 91 | 42 |
| Sweet | 11 | 87 | 59 | 58 | 88 | 38 |
| Hopp-Woods | 11 | 87 | 58 | 54 | 90 | 36 |
| Heijne | 11 | 89 | 55 | 51 | 91 | 35 |
| Av-Cid | 10 | 87 | 58 | 53 | 89 | 36 |
| Roseman | 9 | 89 | 56 | 48 | 91 | 34 |
| Nakashima | 9 | 88 | 56 | 50 | 90 | 35 |
| Levitt | 9 | 88 | 56 | 49 | 91 | 35 |
| Lawson | 8 | 86 | 57 | 43 | 89 | 32 |
| A-Cid | 8 | 87 | 57 | 52 | 89 | 35 |
| Radzicka | 6 | 87 | 56 | 41 | 91 | 32 |
| Bull-Breese | 6 | 86 | 56 | 40 | 91 | 32 |
| Fauchere | 5 | 87 | 56 | 43 | 91 | 33 |
| EM | 5 | 89 | 56 | 41 | 91 | 32 |

The raw output of the benchmark server is shown. The question mark (?) denotes a hyperlink for explanation in the original output. SVM_{top} is indicated as “YOU”. (Sorting on column 1 - highlighted)

TABLE IV
 CONFUSION WITH SOLUBLE PROTEINS BEFORE UPDATING THE BENCHMARK

| Method | False Positives | High- resolution | Low- resolution |
|---------------|----------------------------|-----------------------------|----------------------------|
| YOU | 0 | 0 | 0 |
| SOSUI | 1 | 8 | 4 |
| TMHMM1 | 1 | 8 | 4 |
| PHDhtm08 | 2 | 19 | 23 |
| PHDpsihm | 2 | 3 | 8 |
| Wolfenden | 2 | 39 | 13 |
| Ben-Tal | 3 | 11 | 4 |
| PHDhtm07 | 3 | 14 | 16 |
| PRED-TMR | 4 | 8 | 1 |
| HMMTOP2 | 6 | 0 | 1 |
| TopPred2 | 10 | 8 | 11 |
| DAS | 16 | 0 | 0 |
| WW | 32 | 0 | 0 |
| GES | 53 | 0 | 0 |
| Eisenberg | 66 | 0 | 0 |
| KD | 81 | 0 | 0 |
| Sweet | 84 | 0 | 0 |
| Hopp-Woods | 89 | 0 | 0 |
| Nakashima | 90 | 0 | 0 |
| Heijne | 92 | 0 | 0 |
| Levitt | 93 | 0 | 0 |
| A-Cid | 95 | 0 | 0 |
| Av-Cid | 95 | 0 | 0 |
| Roseman | 95 | 0 | 0 |
| Lawson | 98 | 0 | 0 |
| FM | 99 | 0 | 0 |
| Fauchere | 99 | 0 | 0 |
| Bull-Breese | 100 | 0 | 0 |
| Radzicka | 100 | 0 | 0 |

The raw output of the benchmark server is shown.
 SVMtop is indicated as "YOU". (Sorting on column 1 - highlighted)

TABLE V
 CONFUSION WITH SIGNAL PEPTIDES BEFORE UPDATING THE BENCHMARK

| Method | % of proteins with signal proteins |
|--------------|------------------------------------|
| PHDpsiktra08 | 23 |
| PHDhtra08 | 24 |
| Wolfenden | 26 |
| TMHMM1 | 34 |
| PRED-TMR | 41 |
| PHDhtra07 | 45 |
| HMMTOP2 | 48 |
| Ben-Tal | 57 |
| SOSUI | 61 |
| TopPred2 | 82 |
| WW | 90 |
| YOU | 93 |
| DAS | 97 |
| GES | 98 |
| A-Cid | 99 |
| Av-Cid | 99 |
| Bull-Breese | 99 |
| EM | 99 |
| Eisenberg | 99 |
| Fauchere | 99 |
| Heijne | 99 |
| Hopp-Woods | 99 |
| KD | 99 |
| Lawson | 99 |
| Levitt | 99 |
| Nakashima | 99 |
| Radzicka | 99 |
| Roseman | 99 |
| Sweet | 99 |

The raw output of the benchmark server is shown.
SVMtop is indicated as “YOU”. (Sorting on column 1 - highlighted)

B. Issues of the original benchmark

In the process of updating the topological information using the annotations from the latest version of SwissProt Release 54.1, we discovered that there are many issues with the original benchmark:

1. It contains protein annotations of low reliability: This benchmark contains 49 proteins that are taken from Trust Level D of Möller’s data set. According to the original publication by Möller [19], “annotations assigned the trust level D should not be used for training or testing purposes.” These proteins annotated with low reliability level may lead to a biased evaluation. The 49 proteins are (identified by the protein number as given in the benchmark): 37, 40, 42, 48, 49, 54, 55, 56, 58, 59, 71, 81, 85, 86, 87, 89, 94, 99, 100, 101, 103, 104, 105, 110, 111, 112, 113, 117, 118, 119, 120, 122, 132, 137, 139, 146, 149, 151, 152, 156, 163, 164, 165, 171, 173, 178, 180, 184, and 191.
2. Signal peptides are not removed from some low-resolution TM proteins: When we closely examined the TM proteins individually, we found 28 proteins that have sequences containing signal peptides as annotated by SwissProt Release 54.1. They should have been removed for testing purposes to avoid biases. The proteins that contain signal peptides are (identified by the protein number as given in the benchmark): 36, 41, 43, 57, 69, 74, 86, 87, 96, 98, 100, 101, 102, 103, 117, 118, 119, 122, 139, 145, 146, 149, 152, 154, 160, 173, 180, and 191. We kept the signal peptides (SPs) to evaluate the agreement between the benchmark and the annotations from SwissProt to test for consistency between the two. However, we removed the SPs for a fair evaluation of all methods in the updated benchmark.
3. It contains redundant proteins: We found two pairs of proteins that have 100% sequence identity (high-resolution TM proteins: 21 and 2243; soluble proteins: 2078 and 2079). This contradicts with the paper by Kernytsky and Rost, in which the authors claimed that all redundant proteins were filtered out [17].
4. Others: Incorrect protein IDs; Two protein IDs were incorrect when we matched the sequences using Chen-2002. According to Chen-2002, protein 166 is PTMA_ECOLI, but the correct ID should be PTM3C_ECOLI. Similarly, protein 36 should be 1B27_HUMAN, not 1B14_HUMAN.

C. Inconsistency with SwissProt Release 54.1

We identified 38 high-resolution and 165 low-resolution TM proteins from the original benchmark and retrieved their respective annotations from SwissProt. To evaluate the consistency between the benchmark’s annotations and the SwissProt’s, we uploaded the annotations from SwissProt as our own prediction onto the server (http://cubic.bioc.columbia.edu/tmh_benchmark). If there is a high agreement between the two, the accuracy should be very high. If not, it is very likely that the annotations in the benchmark is not consistent with the SwissProt, and could be out of date. We kept all the redundant proteins and signal peptides in this evaluation; signal peptides were treated as ‘loops’ when we uploaded the SwissProt annotations. The results for high- and low-resolution TM proteins are listed in Table VI and Table VII, respectively.

From the results of Table VI and Table VII, it is clear that there is some significant disagreement between the annotations in SwissProt Release 54.1 and that of the benchmark. The Q_{ok} of both data sets are around 77-78%, and this means that there is more than 20% in each data set that has some inconsistencies. This means that more than 20% of the data sets contain outdated information. Furthermore, given the many issues discovered while updating the data set described above, it is of no surprise that the original benchmark could be biased. Therefore, we not only found the original benchmark full of unreliable information and noises, but also outdated annotations.

D. Comparison of SVMtop with other methods using the updated benchmark

We updated the benchmark using the current annotations from SwissProt, and removed the issues such as redundancy and signal peptides. This resulted in a total of 37 high-resolution and 165 low-resolution proteins. We re-evaluated ten top-performing approaches using the updated benchmark. The results are shown in Table VIII and Table IX.

From the results of the evaluation using the updated benchmark, SVMtop performs competitively against other methods in terms of all of the per-protein, per-segment, and per-residue scores. A dramatic improvement is seen in Q_{ok} by evaluating using the updated benchmark; low-resolution data set before updating (57%) and after (83%). Since the number of samples in high-resolution is small (37), it is probably not convincing by drawing conclusion based on it. However, we also observed some improvement in Q_{ok} as well, from 75% (before updating) to 86% (after updating). The improvement is likely a result of removing the noises and updating the correct topological information in the

benchmark. Since SVM_{top} was trained on a more recent version of SwissProt, its performance is less likely to suffer from data inconsistency when evaluated by benchmarks of updated annotations. This could also mean that for those methods that were trained using Möller’s data set but did not renew the topological annotations, the assessment may not truly reflect the predictive power of individual methods. Another highly ranked method, MEMSAT3, obtains 89% in Q_{ok} for high-resolution data set, and 78% for low-resolution set. Phobius and its homologous version, PolyPhobius, also rank as the top methods in both data sets. These results are consistent with our evaluations [13, 20].

TABLE VI
HIGH RESOLUTION ACCURACY OF SWISSPROT RELEASE 54.1

| Method [?] | Qok [?] | Qhtm %obs [?] | Qhtm %prd [?] | Q2 [?] | Q2T %obs [?] | Q2T %prd [?] |
|---------------------|------------------|---------------------------|---------------------------|-----------------|--------------------------|--------------------------|
| PHDpsihm08 | 84 | 99 | 98 | 80 | 76 | 83 |
| HMMTOP2 | 83 | 99 | 99 | 80 | 69 | 89 |
| DAS | 79 | 99 | 96 | 72 | 48 | 94 |
| YOU | 77 | 94 | 91 | 89 | 85 | 88 |
| TopPred2 | 75 | 90 | 90 | 77 | 64 | 83 |
| TMHMM1 | 71 | 90 | 90 | 80 | 68 | 81 |
| SOSUI | 71 | 88 | 86 | 75 | 66 | 74 |
| PHDhtm07 | 69 | 83 | 81 | 78 | 76 | 82 |
| KD | 65 | 94 | 89 | 67 | 79 | 66 |
| PHDhtm08 | 64 | 77 | 76 | 78 | 76 | 82 |
| GES | 64 | 97 | 90 | 71 | 74 | 72 |
| PRED-TMR | 61 | 84 | 90 | 76 | 58 | 85 |
| Ben-Tal | 60 | 79 | 89 | 72 | 53 | 80 |
| Eisenberg | 58 | 95 | 89 | 69 | 77 | 68 |
| Hopp-Woods | 56 | 93 | 86 | 62 | 80 | 61 |
| WW | 54 | 95 | 91 | 71 | 71 | 72 |
| Roseman | 52 | 94 | 83 | 58 | 83 | 58 |
| Av-Cid | 52 | 93 | 83 | 60 | 83 | 58 |
| Levitt | 48 | 91 | 84 | 59 | 80 | 58 |
| A-Cid | 47 | 95 | 83 | 58 | 80 | 56 |
| Heijne | 45 | 93 | 82 | 61 | 85 | 58 |
| Bull-Breese | 45 | 92 | 82 | 55 | 85 | 55 |
| Sweet | 43 | 90 | 83 | 63 | 83 | 60 |
| Radzicka | 40 | 93 | 79 | 56 | 85 | 55 |
| Nakashima | 39 | 88 | 83 | 60 | 84 | 58 |
| Fauchere | 36 | 92 | 80 | 56 | 84 | 56 |
| Lawson | 33 | 86 | 79 | 55 | 84 | 54 |
| EM | 31 | 92 | 77 | 57 | 85 | 55 |
| Wolfenden | 28 | 43 | 62 | 62 | 28 | 56 |

The raw output of the benchmark server is shown. The question mark (?) denotes a hyperlink for explanation in the original output. SwissProt Release 54.1 is indicated as “YOU”. (Sorting on column 1 - highlighted)

TABLE VII
LOW RESOLUTION ACCURACY OF SWISSPROT RELEASE 54.1

| Method [?] | Qok [?] | Qhtm %obs [?] | Qhtm %prd [?] | Q2 [?] | Q2T %obs [?] | Q2T %prd [?] |
|-------------------------------------|----------------------------------|-----------------------------------------------------------|-----------------------------------------------------------|---------------------------------|----------------------------------------------------------|----------------------------------------------------------|
| YOU | 78 | 96 | 94 | 97 | 95 | 91 |
| TMHMM1 | 72 | 91 | 92 | 90 | 83 | 80 |
| PHDpsiHtm08 | 67 | 95 | 94 | 89 | 87 | 77 |
| HMMTOP2 | 66 | 94 | 93 | 90 | 85 | 83 |
| PRED-TMR | 58 | 92 | 93 | 90 | 78 | 86 |
| PHDhtm08 | 57 | 86 | 86 | 87 | 83 | 75 |
| PHDhtm07 | 56 | 85 | 86 | 87 | 83 | 75 |
| SOSUI | 49 | 88 | 86 | 88 | 79 | 72 |
| TopPred2 | 48 | 84 | 79 | 88 | 74 | 71 |
| DAS | 39 | 93 | 81 | 86 | 65 | 85 |
| Ben-Tal | 35 | 79 | 90 | 87 | 67 | 83 |
| Wolfenden | 29 | 56 | 82 | 80 | 47 | 76 |
| WW | 27 | 90 | 75 | 81 | 83 | 59 |
| GES | 23 | 93 | 68 | 78 | 87 | 53 |
| Eisenberg | 20 | 90 | 63 | 72 | 89 | 47 |
| KD | 13 | 88 | 59 | 63 | 91 | 42 |
| Sweet | 11 | 87 | 59 | 58 | 88 | 38 |
| Hopp-Woods | 11 | 87 | 58 | 54 | 90 | 36 |
| Heijne | 11 | 89 | 55 | 51 | 91 | 35 |
| Av-Cid | 10 | 87 | 58 | 53 | 89 | 36 |
| Roseman | 9 | 89 | 56 | 48 | 91 | 34 |
| Nakashima | 9 | 88 | 56 | 50 | 90 | 35 |
| Levitt | 9 | 88 | 56 | 49 | 91 | 35 |
| Lawson | 8 | 86 | 57 | 43 | 89 | 32 |
| A-Cid | 8 | 87 | 57 | 52 | 89 | 35 |
| Radzicka | 6 | 87 | 56 | 41 | 91 | 32 |
| Bull-Breese | 6 | 86 | 56 | 40 | 91 | 32 |
| Fauchere | 5 | 87 | 56 | 43 | 91 | 33 |
| EM | 5 | 89 | 56 | 41 | 91 | 32 |

The raw output of the benchmark server is shown. The question mark (?) denotes a hyperlink for explanation in the original output. SwissProt Release 54.1 is indicated as "YOU". (Sorting on column 1 - highlighted)

TABLE VIII
ACCURACY OF HIGH-RESOLUTION TM PROTEINS BASED ON UPDATED BENCHMARK

| Method | Per-protein (%) | Per-segment (%) | | Per-residue (%) | | | MCC |
|---------------|------------------------|------------------|------------------|-----------------|-----------------|-----------------|---------------|
| | Q_{ok} | $Q_{htm}^{%obs}$ | $Q_{htm}^{%prd}$ | Q_2 | $Q_{2T}^{%obs}$ | $Q_{2T}^{%prd}$ | |
| SVMtop | 86.4865 (32/37) | 94.6565 | 99.2000 | 93.4627 | 88.3428 | 94.0309 | 0.8399 |
| TMHMM2 | 78.3784 (29/37) | 90.8397 | 98.3471 | 84.8769 | 76.1185 | 89.5146 | 0.6984 |
| HMMTOP2 | 78.3784 (29/37) | 92.3664 | 96.0317 | 83.6246 | 73.8500 | 87.0082 | 0.6569 |
| PHDhtm v1.96 | 59.4565 (22/37) | 85.4962 | 94.9153 | 79.8252 | 63.5161 | 90.0402 | 0.6109 |
| MEMSAT3 | 89.1892 (33/37) | 95.4198 | 98.4252 | 86.5978 | 81.3170 | 88.8468 | 0.7317 |
| TopPred2 | 75.6757 (28/37) | 89.3130 | 97.5000 | 84.0827 | 71.0775 | 89.7018 | 0.6626 |
| SOSUI 1.1 | 75.6757 (28/37) | 91.6031 | 97.5610 | 82.3361 | 76.2445 | 86.0597 | 0.6650 |
| SPLIT4 | 83.7838 (31/37) | 93.1298 | 93.3871 | 84.4134 | 80.8759 | 86.1409 | 0.7007 |
| ConPred II | 81.0811 (30/37) | 93.1298 | 96.8254 | 86.2441 | 74.9842 | 90.3569 | 0.6979 |
| Phobius | 78.3784 (29/37) | 92.3664 | 98.3740 | 84.4991 | 77.6938 | 89.1218 | 0.7065 |
| PolyPhobius | 83.7838 (31/37) | 94.6565 | 97.6378 | 86.1955 | 80.2773 | 90.5151 | 0.7402 |

The total number of high-resolution proteins is 37; one redundant protein (protein number: 2243; PDB ID: 1prc_h) is removed.

TABLE IX
ACCURACY OF LOW-RESOLUTION TM PROTEINS BASED ON UPDATED BENCHMARK

| Method | Per-protein (%) | Per-segment (%) | | Per-residue (%) | | | MCC |
|---------------|--------------------------|------------------|------------------|-----------------|-----------------|-----------------|---------------|
| | Q_{ok} | $Q_{htm}^{%obs}$ | $Q_{htm}^{%prd}$ | Q_2 | $Q_{2T}^{%obs}$ | $Q_{2T}^{%prd}$ | |
| SVMtop | 83.0303 (137/165) | 96.3989 | 98.0282 | 94.8582 | 92.8303 | 89.0381 | 0.8810 |
| TMHMM2 | 75.1515 (124/165) | 90.5817 | 94.6454 | 91.0567 | 83.8260 | 83.9784 | 0.7904 |
| HMMTOP2 | 76.3636 (125/165) | 94.3213 | 92.4016 | 91.4575 | 84.5456 | 84.1148 | 0.7958 |
| PHDhtm v1.96 | 61.8182 (102/165) | 90.4432 | 90.4432 | 89.5834 | 77.1295 | 84.8826 | 0.7552 |
| MEMSAT3 | 77.5758 (128/165) | 92.7978 | 94.4993 | 90.4748 | 85.6217 | 80.9363 | 0.7800 |
| TopPred2 | 51.5152 (85/165) | 87.1191 | 89.8571 | 89.6116 | 78.0954 | 81.9970 | 0.7418 |
| SOSUI 1.1 | 61.8182 (102/165) | 87.2576 | 93.7500 | 88.6461 | 79.9430 | 80.2708 | 0.7411 |
| SPLIT4 | 76.3636 (125/165) | 93.3518 | 93.0939 | 89.6165 | 88.1628 | 77.6921 | 0.7717 |
| ConPred II | 76.3636 (125/165) | 94.4598 | 93.8102 | 92.1497 | 85.2716 | 86.0076 | 0.8132 |
| Phobius | 75.1515 (124/165) | 91.8283 | 95.3957 | 90.6124 | 84.5845 | 84.8375 | 0.8010 |
| PolyPhobius | 72.1212 (119/165) | 93.0748 | 92.6897 | 91.2168 | 87.1321 | 84.2010 | 0.8122 |

The total number of low-resolution proteins is 165.

IV. CONCLUSION

From our analysis of the benchmark by Kernytsky and Rost [17], it is evident that there are some critical issues about the benchmark that would adversely affect how the results are interpreted for an objective comparison. A large portion of low-resolution TM proteins (49/165) contains annotations of low reliability and signal peptides (28/165). Another issue with this benchmark is its annotations that are inconsistent with the current information in SwissProt Release 54.1. We have shown that, by updating the annotations and removing the critical issues inherent in the original data, a more objective evaluation that agrees with previous results can be obtained. In summary, the original benchmark should not be used for a fair comparison; if used, the results should be carefully interpreted because the results may be affected to a large extent due to the existing biases in the data. With the continual updates in SwissProt, we believe that it is of utmost importance to update the topological information whenever possible. This has a significant impact on developing TM topology prediction methods because the training and testing data must be updated in order to achieve better performance. In addition, the results also suggest a strong need for development of a new benchmark of annotations, free of inconsistencies and noises (manuscript in preparation). We also provide the updated benchmark for public use. It is available at <http://bio-cluster.iis.sinica.edu.tw/~bioapp/SVMtop/dataset.htm>.

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